



## Fee Schedule – Full Service Custodial Solo 401(k)

(Only applies when Directed Trust Company is holding funds or assets for the Plan)

### Account Fees

Primary Solo 401(k) Account.....	\$350
Each additional account.....	\$250

#### Base Fee Includes

1. Record keeping and quarterly statements with account log-in.
2. Plan amendments as required by IRS and legal requirements, at least every 6 years.
3. 5500-EZ/SF Filing on accounts with assets exceeding \$250,000.
4. 1099-R preparation on distributions, in-plan Roth conversions, and rollovers.

Directed Trust Company will act as the directed custodian. All funds such as contributions, transfers/rollovers, distributions, self-directed investments, and other account transactions will be directed through Directed Trust Company by the solo(k) trustee. Trustee/administrator is still responsible for tracking contribution limit amounts, or payroll and business tax reporting. All account assets will be held in the name of Directed Trust Company.

**\*\*All accounts must maintain a minimum monthly balance of \$500\*\***

### Transaction Fees

Check Fee.....	\$15	ACH Fee.....	\$15
Domestic Wire Out.....	\$35	Recurring Distribution (Check/ACH).....	\$10
International Wire Out.....	\$50	Cashier's Check.....	\$50

Directed Trust Company does not charge for incoming ACH or Wire transfers.

### Asset Processing Fees (Purchase/Liquidation/Sale/Re-registration/In-kind Transfer)

Private Company, PPM.....	\$75	Real Estate.....	\$100
Promissory Note.....	\$50	Precious Metals.....	\$30
IRA/LLC.....	\$50	Tax Lien, Other.....	\$100

Includes Check/ACH fee. If a Wire is sent, additional fee will apply.

### Mail Fees

Priority Mail.....	\$15
Overnight Mail.....	\$35
International Mail.....	\$50

### Processing Fees

Next Day Service (All requests must be received by 10 am MST and in good order to be processed the following business day).....	\$150
Same-Day Service (All requests must be received <u>prior</u> to 10 am MST and in good order to be processed the same day).....	\$250

### Other Fees

Account Research.....	\$100/hr	Roth Conversion Fee.....	\$95
Medallion Stamp.....	\$25	Distressed Asset Maint. Fee <sup>1</sup> .....	\$100/yr
Processing for Returned Wire.....	\$35	IRS Form Facilitation/Preparation Fee.....	\$100/yr
Processing for Stop payment of Check Sent Out.....	\$35	Legal Action Fee <sup>2</sup> .....	\$150/hr
Returned (NSF) Check.....	\$25	Late Payment Penalty <sup>3</sup> .....	\$50
Paper Statements.....	\$20/yr	Account Termination.....	\$200
Participant Loan.....	\$175	IRS 5500SF Preparation.....	Included