

Fee Schedule — Full Service Custodial Solo 401(k)

Account Fees

Primary Solo 401(k) Account _____	\$350
Each Additional Account _____	\$295

Base Fee Includes

1. Record keeping and quarterly statements with account log-in
2. Plan amendments as required by IRS and legal requirements, at least every 6 years
3. 5500-EZ/SF Filing on accounts with assets exceeding \$250,000
4. 1099-R preparation on distributions, in-plan Roth conversions, and rollovers

Directed Trust Company will act as the directed custodian. All funds such as contributions, transfers/rollovers, distributions, self-directed investments, and other account transactions will be directed through Directed Trust Company by the solo(k) trustee. Trustee/administrator is still responsible for tracking contribution limit amounts, or payroll and business tax reporting. All account assets will be held in the name of Directed Trust Company.

****All accounts must maintain a minimum monthly balance of \$500****

Transaction Fees

Check Fee _____	\$15	ACH Fee _____	\$15
Domestic Wire Out _____	\$35	Recurring Payment (Check.ACH) _____	\$10
International Wire Out _____	\$50	Cashier's Check _____	\$50

Directed Trust Company does not charge for incoming ACH or Wire transfers.

Asset Processing Fees (Purchase/Liquidation/Sale/registration/in kind transfer)

Private Company, PPM _____	\$75	Real Estate _____	\$100
Promissory Note/Re Option _____	\$50	Precious Metals _____	\$30
IRA/LLC _____	\$50	Tax Lien, Other _____	\$100

Includes Check/ACH fee. If a Wire is sent, additional fee will apply.

Mail Fees

Priority Mail _____	\$15
Overnight Mail _____	\$35
International Mail _____	\$50

Processing Fees

Next Day Service <small>(All requests must be received by 4 pm MST and in good order to be processed the following business day)</small> _____	\$150
Same-Day Service <small>(All requests must be received <u>prior</u> to 10 am MST and in good order to be processed the same day)</small> _____	\$250

Other Fees

Account Research _____	\$100/hr	Roth Conversion Fee _____	\$95
Medallion Stamp _____	\$25	Distressed Asset Maint. Fee _____	\$100/yr
Processing for Returned Wire _____	\$35	IRS Form Facilitation/Preparation Fee _____	\$100/yr
Processing for Stop Payment of Check Sent Out _____	\$35	Legal Action Fee _____	\$150/hr
Returned (NSF) Check _____	\$25	Late Payment Penalty _____	\$50
Paper Statements _____	\$20/yr	Account Termination _____	\$200
Participant Loan _____	\$175	IRS 5500SF Preparation _____	Included