

Brokerage Account Add-On Agreement

IMPORTANT INFORMATION

This form should only be used to add on brokerage account trading capability to a self-directed account. By adding on a brokerage account option to a self-directed account, an account owner can purchase publicly traded mutual funds and stocks, and ETFs with their account.

1. Account Owner Information

First Name: _____ MI: _____ Last Name: _____

Last 4 of SSN: _____ Date of Birth: _____ Phone Number: _____

Account Number: _____ Account Type (e.g. Roth IRA, Trad IRA): _____

2. Fee Schedule

Account Fees

Annual Account Fee for Brokerage Add-On Feature \$80

Transactions Fees

Mutual Fund \$35

Stock (NYSE, NASDAQ) \$35 + \$.03/Share

**Brokerage account annual account fee will be charged each year in addition to your normal annual account renewal fee. If the brokerage account option is added within the last 6 months of your account's fiscal year, your first-year fee will be reduced to \$40.

3. Acknowledgement & Signature

I agree to the fee schedule outlined above and further agree and understand that I am responsible, and Directed Trust Company and its related entities are NOT responsible, for selecting and reviewing the investment of my IRA. I also understand that I am responsible, and Directed Trust Company and its related entities are NOT responsible, for determining the suitability, nature, value, risk, safety and merits of the investment that I am making.

By authorizing the investment into any publicly traded stock or mutual fund you agree that Directed Trust Company will use its designated broker dealer and custodial partner for publicly traded assets. Directed Trust Company will have no authority to vote for shares owned by your account and will use a third-party partner to deliver proxy notices to you for proxy voting purposes (as applicable).

Please note electronic signatures on this form must include the electronic signature Certification page or Certification Stamp. If one is not included, we will not be able to process this form.

➤ _____
Account Owner Signature

_____ Date

INVESTMENTS: NOT FDIC INSURED ■ NO GUARANTEE ■ MAY LOSE VALUE

[Secure File Upload](#)
www.directedira/secureupload

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Clients@directedira.com

Send Mail to:
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Directed IRA is a tradename of Directed Trust Company, an Arizona Corporation