



Fee Schedule - Full Service Custodial Solo 401(k)

(Only applies when Directed Trust Company is holding funds or assets for the Plan)

Account Fees

Primary Solo 401(k) Account _____	\$350
Each additional account _____	\$250

Base Fee Includes:

1. Record keeping and quarterly statements with account log-in.
2. Plan amendments as required by IRS and legal requirements, at least every 6 years.
3. 5500-EZ/SF Filing on accounts with assets exceeding \$250,000.
4. 1099-R preparation on distributions, in-plan Roth conversions, and rollovers.

Directed Trust Company will act as the directed custodian. All funds such as contributions, transfers/rollovers, distributions, self-directed investments, and other account transactions will be directed through Directed Trust Company by the solo(k) trustee. Trustee/administrator is still responsible for tracking contribution limit amounts, or payroll and business tax reporting. All account assets will be held in the name of Directed Trust Company.

****All accounts must maintain a minimum monthly balance of \$500****

Transaction Fees

Check Fee _____	\$15	ACH Fee _____	\$15
Domestic Wire Out _____	\$35	Recurring Payment (Check.ACH) _____	\$10
International Wire Out _____	\$50	Cashier's Check _____	\$50

Directed Trust Company does not charge for incoming ACH or Wire transfers.

Asset Processing Fees (Purchase/Liquidation/Sale/registration/in kind transfer)

Private Company, PPM _____	\$75	Real Estate _____	\$100
Promissory Note/Re Option _____	\$50	Precious Metals _____	\$30
IRA/LLC _____	\$50	Tax Lien, Other _____	\$100

Includes Check/ACH fee. If a Wire is sent, additional fee will apply.

Mail Fees

Priority Mail _____	\$15
Overnight Mail _____	\$35
International Mail _____	\$50

Processing Fees

Next Day Service (All requests must be received by 4 pm MST and in good order to be processed the following business day) _____	\$150
Same-Day Service (All requests must be received <u>prior</u> to 10 am MST and in good order to be processed the same day) _____	\$250

Other Fees

Account Research _____	\$100/hr	Roth Conversion Fee _____	\$95
Medallion Stamp _____	\$25	Distressed Asset Maint. Fee ¹ _____	\$100/yr
Processing for Returned Wire _____	\$35	IRS Form Facilitation/Preparation Fee _____	\$100/yr
Processing for Stop Payment of Check Sent Out _____	\$35	Legal Action Fee ² _____	\$150/hr
Returned (NSF) Check _____	\$25	Late Payment Penalty ³ _____	\$50
Paper Statements _____	\$20/yr	Account Termination _____	\$200
Participant Loan _____	\$175	IRS 5500SF Preparation _____	Included

1 Asset subject to dissolution, bankruptcy, receivership, or alternative disposition or status. 2 Relating to production of documents related to subpoena or legal action. 3 For failure to pay any outstanding invoice by provided deadline. Uninvested Cash shall be deposited by Directed IRA with FDIC insured banks and will be insured up to the federal deposit insurance limits (currently \$250,000 per account holder) and any excess amount will not be insured by the FDIC. Directed IRA reserves the right to effect changes to this Fee Schedule. Fees will continue to accrue and be payable even if the Account contains no assets from which Directed IRA can collect amounts owed by the Account Owner. The Account Owner is responsible for reporting any inaccuracy of all assessed account fees and must report any inaccuracies to Directed IRA's Client Services within 45 days of the Fee Statement date.