

FEE SCHEDULE - Full Service Custodial Solo 401(k)

Plan Set Up and Custodial Account Fees

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|---|---------------------------|
| Solo 401(k) Plan Setup - Docs Only or Restatement _____ | \$495 one-time fee |
| Full Service with a 1-hour Attorney Consultation _____ | \$995 one-time fee |
| Solo 401(k) Account _____ | \$295/yr/account |

Base Fee Includes:

1. Record keeping and quarterly statements with account log-in.
2. Plan amendments as required by IRS and legal requirements, at least every 6 years.
3. 5500-EZ/SF Filing on accounts with assets exceeding \$250,000.
4. 1099-R preparation on distributions, in-plan Roth conversions, and rollovers.

Directed Trust Company will act as the directed custodian. All funds such as contributions, transfers/rollovers, distributions, self-directed investments, and other account transactions will be directed through Directed Trust Company by the solo(k) trustee. Trustee/administrator is still responsible for tracking contribution limit amounts, or payroll and business tax reporting. All account assets will be held in the name of Directed Trust Company.

Transaction Fees

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|--|------|--|------|
| Domestic Wire Out _____ | \$35 | Recurring Payment* _____ | \$10 |
| International Wire Out _____ | \$50 | Cash Distribution* _____ | \$25 |
| Expense Payment Request/Earnest Money Deposit* _____ | \$25 | Cash Transfer Out/Internal Transfer* _____ | \$25 |
| Additional Investment/Capital Call* _____ | \$25 | Cashier's Check _____ | \$50 |

*Includes Check/ACH fee. If a Wire is requested, additional fee will apply.
Directed Trust Company does not charge for incoming ACH or Wire transfers.

Asset Processing Fees (Purchase/Liquidation/Sale/Re-registration/In-kind Transfer, Payoff) _____ **\$50**

Includes Check/ACH fee. If a Wire is requested, additional wire fee will apply.

Mail Fees

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|--------------------------|-------------|
| Priority Mail _____ | \$15 |
| Overnight Mail _____ | \$35 |
| International Mail _____ | \$50 |

Expedited Processing Fees

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| Next Day Service (All requests must be received by 4 pm MST and in good order to be processed the following business day) _____ | \$150 |
| Same-Day Service (All requests must be received <u>prior</u> to 10 am MST and in good order to be processed the same day) _____ | \$250 |

Other Fees

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|---|--------------------|--|-------------|
| Account Research _____ | \$100/hr | Late Payment Penalty ³ _____ | \$35 |
| Medallion Stamp _____ | \$25 | Roth Conversion Fee Cash Only _____ | \$50 |
| Any Returned Item/Stop Payment _____ | \$35 | Roth Conversion Fee Assets Other Than Cash _____ | \$100/asset |
| Paper Statements _____ | \$20/yr | Distressed Asset Maint. Fee ¹ _____ | \$100/yr |
| Account Termination _____ | \$200 | Corrected Tax Form _____ | \$250 |
| Note Modification/Non-Recourse Loan _____ | \$50 | Legal Action/Legal Review Fee ² _____ | \$150/hr |
| Stock/ETF Trade _____ | \$25 + \$.03/Share | Recharacterization _____ | \$100 |
| Notary _____ | \$25 | Mutual Fund Trade _____ | \$25 |

A minimum cash balance of \$500 is only required on accounts who have chosen to have fees deducted from the available cash balance in the account.

1 Asset subject to dissolution, bankruptcy, receivership, or alternative disposition or status. 2 Relating to production of documents related to subpoena or legal action or when legal review is necessary given legal issues raised from account holder instruction or direction. 3 For failure to pay any outstanding invoice by provided deadline. Uninvested Cash shall be deposited by Directed IRA with FDIC insured banks and will be insured up to the federal deposit insurance limits (currently \$250,000, per account holder) and any excess amount will not be insured by the FDIC. Directed IRA reserves the right to effect changes to this Fee Schedule. Fees will continue to accrue and be payable even if the Account contains no assets from which Directed IRA can collect amounts owed by the Account Owner. The Account Owner is responsible for reporting any inaccuracy of all assessed account fees and must report any inaccuracies to Directed IRA's Client Services within 45 days of the Fee Statement date.